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( Not for submission under 37 CFR 1.99)

Application Number		09802088
Filing Date		2001-03-08
First Named Inventor	Keith	
Art Unit	3693	
Examiner Name	J.M. Borlinghaus	
Attorney Docket Number	125469	

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↓	2	6012043	A	2000-01-04	Albright	
↓	3	6021397	A	2000-02-01	Jones	
↓	4	6377940	B2	2002-04-23	Tilfors	
↓	5	6493681	B1	2002-12-10	Tertitski	
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↓	1	LOWENSTEIN, R., "Why Stock Options Can Be Dynamite for a Portfolio," Wall Street Journal (Europe), November 7, 1997, p. 13.	<input type="checkbox"/>

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/J.B./	2	McGUIRE, C., "FIXML Set for Launch," Wall Street & Technology 17(3):50, March 1999.	<input type="checkbox"/>
↓	3	TSU, M.E., "Tutorial on Using Options in Active Strategies," Derivatives Quarterly 6(1):39-48, Fall 1999.	<input type="checkbox"/>
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